

Water Innovation Projects

Request for Information (RFI)

Governor Bill Richardson proposed and the Legislature funded the Water Innovation Fund (WIF) program throughout several years with appropriations of capital funds. The WIF program will be carried out in an integrated manner.

Purpose: This Request for Information (RFI) is being released for the purpose of obtaining information on potential projects deserving of Water Innovation funds. The Department of Finance and Administration (DFA, or the Agency) is requesting information regarding pilot projects that demonstrate highly innovative technologies that address compliance issues with arsenic, fluoride or radionuclides and water conservation. Short range projects with technology that can be successfully demonstrated and then transferred elsewhere in New Mexico are being sought. The proposed projects must be able to produce tangible results within the next six to twenty-four months. The Agency will determine which responses merit funding and will contract with public entities as lead applicants.

Eligible Applicants: The Agency will enter into Agreements for acceptable technologies only with public entities including local governments such as municipalities and counties, state agencies, and colleges/universities. Private/non-profit entities who wish to submit proposed technologies will ultimately be required to partner with a public entity. No Agreement resulting from this RFI will be made directly with any private/non-profit entity or any entity other than a public entity as described hereinabove.

Criteria: Responses describing projects which may ultimately be funded under the WIF are required to demonstrate that the project will meet one or more of the following criteria (the more of the listed items addressed, the better chance the project has for funding):

- Responses should provide solutions for communities in crisis;
- Responses should provide detail and assurances regarding monitoring and long-term maintenance upon completion of activities in order to assure a high likelihood of long-term viability and sustainability;
- Responses should demonstrate readiness to proceed in that potential projects must show that adequate planning has been completed to ensure timely implementation;
- Responses should integrate small-scale appropriate technology;
- Responses should import successful innovations from other applications where possible and appropriate;
- Responses should provide a plan for education and outreach about the specific proposed project;

- Responses should provide evidence that the entity has the capacity to complete the proposed project;
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- Responses should validate the science proposed for potential water savings and production;
- Responses should provide costs that are detailed, focused on appropriate activities, well justified, and reasonable; and
- Responses should clearly describe how the project will accomplish the planned objective, including descriptions of measurable outcomes.

Entities may seek funding for these proposed projects in an amount not to exceed \$500,000 per proposed project. If the project is phased based, a proposal must provide a detailed summary of Phase I and a brief summary of the additional phases along with estimated costs for each additional phase. The review panel will review projects and make recommendations based on the most viable, deserving, and potentially beneficial water projects in New Mexico. The Agency shall determine funding for water innovation proposed projects based on the relative merit of the proposed projects received in response to this RFI.

The RFI is being used only to seek information on prospective projects. DFA shall develop a Joint Powers Agreement (JPA), a Governmental Services Agreement (GSA) or a Memorandum of Understanding (MOU) with those public entities whose projects are selected for funding. The selected public entities may work with other institutions or private contractors by establishing separate agreements for efforts to be carried out by such other entities. Any such separate agreements must be entered into pursuant to applicable Procurement Code regulations.

If entities include private sector partners in their proposed projects, each private sector partner shall complete and sign the Contractor Campaign Contribution Disclosure Form (attached) and the entities shall submit the document with their proposed projects final applications. The completed proposed project description submitted by the public entities in response to this RFI shall not exceed ten (10) pages in length. Entities shall provide one original and five copies to the DFA contact person listed below no later than 5:00 p.m. Mountain Daylight Time on Wednesday, July 2nd, 2008:

Robert Apodaca, Director
Local Government Division – Capital Projects
DFA
410 Don Gaspar
Santa Fe, New México 87501

Any questions regarding the Water Innovation funded projects must be submitted in writing to the address below by Monday, June 16th, 2008.

Cynthia Romero
DFA/LGD-Capital Projects
410 Don Gaspar
Santa Fe, NM 87501
(505) 476-1095
E-mail: Cynthia.Romero@state.nm.us

CAMPAIGN CONTRIBUTION DISCLOSURE FORM

Pursuant to the Procurement Code, Sections 13-1-28, et seq., NMSA 1978 and NMSA 1978, § 13-1-191.1 (2006), as amended by Laws of 2007, Chapter 234, any prospective contractor seeking to enter into a contract with any state agency or local public body **for professional services, a design and build project delivery system, or the design and installation of measures the primary purpose of which is to conserve natural resources** must file this form with that state agency or local public body. This form must be filed even if the contract qualifies as a small purchase or a sole source contract. The prospective contractor must disclose whether they, a family member or a representative of the prospective contractor has made a campaign contribution to an applicable public official of the state or a local public body during the two years prior to the date on which the contractor submits a proposal or, in the case of a sole source or small purchase contract, the two years prior to the date the contractor signs the contract, if the aggregate total of contributions given by the prospective contractor, a family member or a representative of the prospective contractor to the public official exceeds two hundred and fifty dollars (\$250) over the two year period.

Furthermore, the state agency or local public body may cancel a solicitation or proposed award for a proposed contract pursuant to Section 13-1-181 NMSA 1978 or a contract that is executed may be ratified or terminated pursuant to Section 13-1-182 NMSA 1978 of the Procurement Code if: 1) a prospective contractor, a family member of the prospective contractor, or a representative of the prospective contractor gives a campaign contribution or other thing of value to an applicable public official or the applicable public official's employees during the pendency of the procurement process or 2) a prospective contractor fails to submit a fully completed disclosure statement pursuant to the law.

The state agency or local public body that procures the services or items of tangible personal property shall indicate on the form the name or names of every applicable public official, if any, for which disclosure is required by a prospective contractor.

THIS FORM MUST BE INCLUDED IN THE REQUEST FOR PROPOSALS AND MUST BE FILED BY ANY PROSPECTIVE CONTRACTOR WHETHER OR NOT THEY, THEIR FAMILY MEMBER, OR THEIR REPRESENTATIVE HAS MADE ANY CONTRIBUTIONS SUBJECT TO DISCLOSURE.

The following definitions apply:

“Applicable public official” means a person elected to an office or a person appointed to complete a term of an elected office, who has the authority to award or influence the award of the contract for which the prospective contractor is submitting a competitive sealed proposal or who has the authority to negotiate a sole source or small purchase contract that may be awarded without submission of a sealed competitive proposal.

“Campaign Contribution” means a gift, subscription, loan, advance or deposit of money or other thing of value, including the estimated value of an in-kind contribution, that is made to or received by an applicable public official or any person authorized to raise, collect or expend contributions on that official’s behalf for the purpose of electing the official to statewide or local office. “Campaign Contribution” includes the payment of a debt incurred in an election campaign, but does not include the value of services provided without compensation or unreimbursed travel or other personal expenses of individuals who volunteer a portion or all of their time on behalf of a candidate or political committee, nor does it include the administrative or solicitation expenses of a political committee that are paid by an organization that sponsors the committee.

“Family member” means spouse, father, mother, child, father-in-law, mother-in-law, daughter-in-law or son-in-law of (a) a prospective contractor, if the prospective contractor is a natural person; or (b) an owner of a prospective contractor.

“Pendency of the procurement process” means the time period commencing with the public notice of the request for proposals and ending with the award of the contract or the cancellation of the request for proposals.

“Prospective contractor” means a person or business that is subject to the competitive sealed proposal process set forth in the Procurement Code or is not required to submit a competitive sealed proposal because that person or business qualifies for a sole source or a small purchase contract.

“Representative of a prospective contractor” means an officer or director of a corporation, a member or manager of a limited liability corporation, a partner of a partnership or a trustee of a trust of the prospective contractor.

Name(s) of Applicable Public Official(s) if any: _____
(Completed by State Agency or Local Public Body)

DISCLOSURE OF CONTRIBUTIONS BY PROSPECTIVE CONTRACTOR:

Contribution Made By: _____

Relation to Prospective Contractor: _____

Date Contribution(s) Made: _____

Amount(s) of Contribution(s) _____

Nature of Contribution(s) _____

Purpose of Contribution(s)

(Attach extra pages if necessary)

Signature

Date

Title (position)

--OR--

NO CONTRIBUTIONS IN THE AGGREGATE TOTAL OVER TWO HUNDRED FIFTY DOLLARS (\$250) WERE MADE to an applicable public official by me, a family member or representative.

Signature

Date

Title (Position)